

PTO/SB/17 (10-01)

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 320.00

Complete if Known

Application Number	09/476,633
Filing Date	12/31/99
First Named Inventor	Li-Shun Wang, et al.
Examiner Name	Garcia, J.
Group Art Unit	2823
Attorney Docket Number	42390P7832

METHOD OF PAYMENT (check all that apply)

Check Credit Card Money Order Other None

Deposit Account

Deposit Account Number: 02-2666
 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments

Charge any additional fee(s) during the pendency of this application.

Charge fee(s) indicated below, except for the filing fee, to the above-identified deposit account

FEE CALCULATION

1. FILING FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) []

2. CLAIMS

Total Claims	27	Extra		Fee from below		Fee Paid	
Independent Claims	9		X				
Multiple Dependent Claims							

Multiple Dependent Claims

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple Dependent claim	
109	84	209	42	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) []

* A number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEE

Large Entity Code	Large Entity Fee (\$)	Small Entity Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	400	216	200	Extension for response within second month	
117	920	217	460	Extension for response within third month	
118	1,440	218	720	Extension for response within fourth month	
128	1,960	228	980	Extension for response within fifth month	
119	320	219	160	Notice of Appeal	320
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidably	
141	1,280	241	640	Petition to revive - unintentionally	
142	280	242	140	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) []

SUBTOTAL (3) (\$) 320.00

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name	William Thomas Babbitt, Reg. No. 39,591	Registration No. (Attorney/Agent)	Telephone	(310) 207-3800
Signature	<i>William T. Babbitt</i>		Date	03/18/02

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PTO/SB/21 (08/00)

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<h1>TRANSMITTAL FORM</h1> <p><i>(to be used for all correspondence after initial filing)</i></p>	Application Number	09/476,633	
	Filing Date	December 31, 1999	
	First Named Inventor	Li-Shun Wang	
	Group Art Unit	2823	
	Examiner Name	Garcia, J.	
Total Number of Pages in This Submission	5	Attorney Docket Number	42390P7832

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input checked="" type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 2px; width: fit-content; margin-top: 5px;">Facsimile Transmission Sheet</div>
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William Thomas Babbitt, Reg. No. 39,591 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
Signature	<i>William T. Babbitt</i>
Date	March 18, 2002

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Signature	<i>Marilyn Bass</i>		

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Firm Name: U.S. PATENT AND TRADEMARK OFFICE Art Unit 2823

Fax Number: (703) 308-7722 or 7724 **Telephone No.:** (703) 306-5733

From: Thomas J. Treutler **Reg. No.:** 51126

Date: June 20, 2002 **Time:** _____

BSTZ Matter: 042390,P7832 **Number of pages including cover sheet:** 07

In Re Patent Application of: Li-Shun Wang, John Chu

Application No.: 09/476,633

Filed: December 31, 1999

Title: REMOVAL OF RESIDUE FROM A SUBSTRATE

Enclosed are the following documents:

<input type="checkbox"/> Amendment/Response (___ pgs)	<input type="checkbox"/> Declaration & PofA (___ pgs)	<input type="checkbox"/> Reply Brief (___ pgs)
<input type="checkbox"/> Appeal Brief (___ pgs) (in triplicate)	<input type="checkbox"/> Drawings: ___ # of sheets w/ ___ figs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Utility Application (___ pgs)	<input type="checkbox"/> ___ Month Petition re Ext. of Time	<input type="checkbox"/> Resp. to Notice of Missing Parts (___ pgs)
<input type="checkbox"/> 1.53(b) Cont. Application (___ pgs)	<input type="checkbox"/> IDS & PTO 1449 (___ pgs)	<input checked="" type="checkbox"/> Transmittal Letter (01 pgs)
<input type="checkbox"/> 1.53(b) Divisional Application (___ pgs)	<input type="checkbox"/> Issue Fee Transmittal (___ pgs)	<input checked="" type="checkbox"/> Fee Transmittal (01 pgs) (in duplicate)
<input type="checkbox"/> 1.53(b) CIP Application (___ pgs)	<input checked="" type="checkbox"/> Notice of Appeal (01 pgs)	<input checked="" type="checkbox"/> Other: Copy of Facsimile Transmittal Sheet and Confirmation Report dated March 18, 2002 (2 pgs)
<input type="checkbox"/> 1.53(d) CPA Transmittal (___ pgs)	<input type="checkbox"/> Petition re _____ (___ pgs)	
<input type="checkbox"/> PCT Application (___ pgs)	<input type="checkbox"/> Power of Attorney (___ pgs)	
<input type="checkbox"/> Provisional Application (___ pgs)	<input type="checkbox"/> Declaration by Inventor(s) (___ pgs)	
<input type="checkbox"/> Assignment & Cover Sheet (___ pgs)	<input type="checkbox"/> Supplemental Declaration (___ pgs)	
<input checked="" type="checkbox"/> Certificate of Transmission by Fax	<input type="checkbox"/> Preliminary Amendment (___ pgs)	

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Deliver to: Examiner Joannie Garcia

Firm Name: U.S. PATENT AND TRADEMARK OFFICE Art Unit 2823

Fax Number: (703) 308-7722 or 7724 Telephone No.: (703) 306-5733

From: William Thomas Babbitt Reg. No.: 39,591

Date: March 18, 2002 Time: _____

BSTZ Matter: 042390.P7832 Number of pages including cover sheet: 05

In Re Patent Application of: Li-Shun Wang, John Chu

Application No.: 09/476,633

Filed: December 31, 1999

Title: REMOVAL OF RESIDUE FROM A SUBSTRATE

Enclosed are the following documents:

<input type="checkbox"/> Amendment/Response (___ pgs)	<input type="checkbox"/> Declaration & PofA (___ pgs)	<input type="checkbox"/> Reply Brief (___ pgs)
<input type="checkbox"/> Appeal Brief (___ pgs) (in triplicate)	<input type="checkbox"/> Drawings: ___ # of sheets w/ ___ figs.	<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Utility Application (___ pgs)	<input type="checkbox"/> ___ Month Petition re Ext. of Time	<input type="checkbox"/> Resp. to Notice of Missing Parts (___ pgs)
<input type="checkbox"/> 1.53(b) Cont. Application (___ pgs)	<input type="checkbox"/> IDS & PTO 1449 (___ pgs)	<input checked="" type="checkbox"/> Transmittal Letter <u>01</u> pgs)
<input type="checkbox"/> 1.53(b) Divisional Application (___ pgs)	<input type="checkbox"/> Issue Fee Transmittal (___ pgs)	<input checked="" type="checkbox"/> Fcc Transmittal (<u>01</u> pgs) (in duplicate)
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<input type="checkbox"/> PCT Application (___ pgs)	<input type="checkbox"/> Power of Attorney (___ pgs)	<input type="checkbox"/> Other: _____
<input type="checkbox"/> Provisional Application (___ pgs)	<input type="checkbox"/> Declaration by Inventor(s) (___ pgs)	<input type="checkbox"/> Other: _____
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Document pages : 005
Start time : Mar-18 02:21pm
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Firm Name: U.S. PATENT AND TRADEMARK OFFICE, Art Unit 2823
Fax Number: (703) 305-7722 or 7724 Telephone No.: (703) 306-8713
From: William Thomas Bahdin Reg. No.: 30 591
Date: March 18, 2002 Time: _____
BSTZ Matter: 042390 P2822 Number of pages including cover sheet: 01
In Re Patent Application of Lia Shun Wang, John Chu
Application No.: 02/476,633
Filed: December 31, 1999
Title: REMOVAL OF RESIDUE FROM A SUBSTRATE

Enclosed are the following documents:

Amendment/Response (pgs)	Declaration & PoA (pgs)	Reply letter (pgs)
Appeal Brief (pgs) (in duplicate)	Drawings: # of sheets w/ figs.	Small Entity Statement
Utility Application (pgs)	Month/Day/Year to Exp. of Term	Reply to Notice of Missing Parts (pgs)
1.53(b) Cont. Application (pgs)	IDS & PTO 1442 (pgs)	X Transmittal Letter (1 pgs)
1.53(b) Divisional Application (pgs)	Issue Fee Transmittal (pgs)	X Fee Transmittal (01 pgs) (in duplicate)
1.53(c) CIP Application (pgs)	X Notice of Appeal (01 pgs)	Other:
1.53(d) CPA Transmittal (pgs)	Patition no. (pgs)	Other:
PCT Application (pgs)	Power of Attorney (pgs)	Other:
Provisional Application (pgs)	Declaration by Inventor(s) (pgs)	Other:
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X Conventions of Transmittal by Fax	Preliminary Amendment (pgs)	Other:

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